



*International  
Virtual  
Observatory  
Alliance*

## Vocabularies in the VO

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## Abstract

In this document, we discuss practices related to the use of RDF-based consensus vocabularies in the Virtual Observatory, that is the creation, publication, maintenance, and consumption of hierarchical word lists agreed upon within the IVOA. To cover the wide range of use cases envisioned, we define different vocabulary types for informal knowledge organisation on the one hand, and strict hierarchies of classes and properties on the other. While the framework rests on the solid foundations of W3C RDF, provisions are made to facilitate using IVOA vocabularies without specific RDF tooling. Non-normative appendices detail the current vocabulary-related tooling.

## Status of this document

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A list of current IVOA Recommendations and other technical documents can be found at <https://www.ivoa.net/documents/>.

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In particular, the vocabulary for datalink semantics done by Norman Gray was formative for many aspects of what is specified here.

## Conformance-related definitions

The words “MUST”, “SHALL”, “SHOULD”, “MAY”, “RECOMMENDED”, and “OPTIONAL” (in upper or lower case) used in this document are to be interpreted as described in IETF standard RFC2119 (Bradner, 1997).

The *Virtual Observatory (VO)* is a general term for a collection of federated resources that can be used to conduct astronomical research, education, and outreach. The *International Virtual Observatory Alliance (IVOA)* is a global collaboration of separately funded projects to develop standards and infrastructure that enable VO applications.

## 1 Introduction

The W3C’s Resource Description Framework RDF (Schreiber and Raimond, 2014) is a powerful and very generic means to represent, transmit, and reason on highly structured, “semantic” information. With both its power and generality, however, comes a high complexity for consumers of this information if no further conventions are in force. Also, the generic W3C standards understandably do not cover how semantic resources (e.g., vocabularies or ontologies) are to be managed, let alone developed within organisations like the IVOA.

For many applications, even within the VO, the significant complexity and the lack of defined management processes is acceptable. However, for several other use cases – in particular those given in sect. 2.1 – extra conventions help with implementability and interoperability.

Based on requirements derived from these use cases (sect. 2.2), this standard will therefore define conventions for vocabularies based on either SKOS (Miles and Bechhofer, 2009) or RDFS (Brickley and Guha, 2014) in sect. 4. Where these vocabularies – and hence, in particular, the permanent URIs of their RDF resources (“terms”) – are managed by the IVOA, they need to be reviewed and consensus be found. A process to ensure this is described in sect. 5. In order to provide certain guarantees to clients, sect. 6 defines minimal standards for how IVOA-managed vocabularies must be made available. In order to help adopters simply looking for simple vocabulary-related recipes, sect. 3 discusses how IVOA vocabularies can be used without knowledge of RDF.

The non-normative appendices A and B describe the tooling currently used or recommended for building and managing vocabularies in the IVOA.

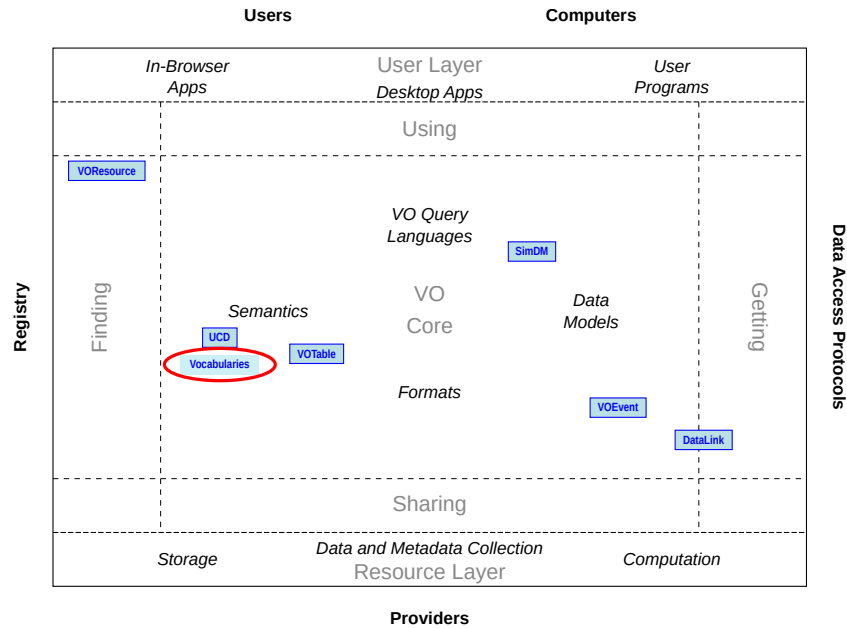


Figure 1: Architecture diagram for this document

## 1.1 Role within the VO Architecture

Fig. 1 shows the role the Vocabularies in the VO standard plays within the IVOA architecture (Arviset and Gaudet et al., 2010).

This standard defines a set of conventions on procedures on top of several W3C standards that can be adopted by other VO standards that require interoperable, consensus vocabularies, such as:

**Datalink** (Dowler and Bonnarel et al., 2015)

Datalink includes a vocabulary letting clients work out the kind of artefact a row pertains to.

**VOResource** (Plante and Demleitner et al., 2018)

VOResource 1.1 comes with several (rather flat) vocabularies enumerating, for instance, the types of relationships between VO resources, their intended audiences, or classes of actions performed on them.

**VOEvent** (Seaman and Williams et al., 2006)

VOEvent defines *Why* and *What* elements. While their content is not formally required to be drawn from a specific vocabulary in VOEvent's version 1.11, it certainly becomes significantly more useful if it is.

**VOTable** (Ochsenbein and Taylor et al., 2019)

VOTable, in its version 1.4, introduces vocabularies for time scales

and reference positions.

UCDs (Preite Martinez and Derriere et al., 2007)

UCDs are related to vocabularies in that they provide machine-readable semantics. Because the terms listed in the document can be combined and have an underlying grammar, however, they go beyond standard RDF. Hence, no attempt is being made to integrate them into the framework proposed here at this time. The UCD atoms might be organised in an RDF vocabulary, though, and doing so might be considered in the future.

Not all VO standards need these normative constraints though. In situations when the use cases do not require extra management and definition, or where more complex structures such as full ontologies are needed, it is encouraged to use W3C standards without the extra requirements listed here. An example for a direct use of SKOS without adoption of the present document is the Simulation Data Model SimDM (Lemson and Wozniak et al., 2012), where several fields constrain their values to be *skos:narrower* than certain top-level concepts.

## 1.2 Relationship to Vocabularies in the VO Version 1

Published in 2009, version 1.19 of the IVOA Recommendation on Vocabularies in the VO had an outlook fairly different from the present document: the big use case was VOEvent’s Why and What, and so its focus was on large, general-purpose vocabularies, of which several existed even back then. Meanwhile, an overhaul of a thesaurus of general astronomical terms approved by the IAU in 1993 was underway as part of IVOA’s activities. Mapping between vocabularies maintained by different VO and non-VO parties seemed to be the way to ensure interoperability and therefore played a large role in the document. Also, the use cases called for “soft” relations, which is why the standard confined itself to SKOS as the vocabulary formalism.

In contrast, today “the” large astronomy thesaurus is being maintained outside of the IVOA (the UAT<sup>1</sup>). It seems likely that its takeup will be sufficient that general clients will not have to map between it and, say, legacy journal keyword systems.

Instead, in 2010, a fairly formal vocabulary of what should be properties (in the RDF sense) rather than *skos:Concept*-s was required during the development of the datalink standard. The vocabulary was (and still is) small in comparison to, say, the UAT. In contrast to the expectations of Vocabularies 1, the plan had been that most data providers would work with this small vocabulary, and terms from external vocabularies would only be used as temporary stand-ins until the consensus vocabulary was updated.

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<sup>1</sup><http://astrothesaurus.org>

Of course, this required a process for managing such vocabularies. The lack of such a process became even more noticeable when VOResource 1.1 and VOTable 1.4 introduced vocabularies of their own, similar in size and scope to the datalink vocabulary.

On the other hand, we are not aware of a single attempt to map between different vocabularies in a VO context, and the SKOS versions of some vocabularies that Vocabularies 1 declared as normative in its section 4 were largely unused and have been unmaintained for a while now.

Since large parts of the original specification turned out to be irrelevant or unsustainable as the VO ecosystem evolved, while some core requirements found later were not addressed, it was decided to prepare a new major version of the Vocabularies in the VO standard.

### 1.3 Reading Guide

We hope that software authors or annotators just wanting to consume IVOA vocabularies, or use them to annotate documents, will be able to do so after reading just section 3. In particular, no deeper understanding of RDF should be necessary.

Persons intending to participate in vocabulary evolution should skim sect. 4, in particular the subsection on the kind of vocabulary they want to modify, and must study sect. 5.

Readers unfamiliar with RDF should read [Gray \(2015\)](#) before reading anything outside of section 3. In particular, we assume familiarity with all RDF terminology discussed there. Concepts not covered by Gray’s essay will be informally introduced here. Of course, the underlying W3C standards are normative where applicable.

### 1.4 Terminology, Conventions, Typography

When we speak of *term* here, that either means a *skos:Concept* in SKOS vocabularies, an *rdfs:Class* in RDF class vocabularies, or an *rdf:Property* in RDF property vocabularies. We also use *term* for “the string after the hash character in the RDF resource URI”, i.e., the machine-readable string typically used in annotation. It is rarely necessary to distinguish between the two meanings.

We refer to classes and properties by CURIEs ([Birbeck and McCarron, 2010](#)), i.e., URIs shortened by replacing long strings with compact prefixes and a colon. The prefixes in this document correspond to the following base URIs:

- dc – <http://purl.org/dc/terms/>
- rdf – <http://www.w3.org/1999/02/22-rdf-syntax-ns#>
- rdfs – <http://www.w3.org/2000/01/rdf-schema#>



- owl – <http://www.w3.org/2002/07/owl#>
- skos – <http://www.w3.org/2004/02/skos/core#>
- ivoasem – <http://www.ivoa.net/rdf/ivoasem#>

Vocabulary terms are written in italics (e.g., *rdfs:Class*) and, where supported, in a reddish hue. As common in IVOA specifications, XML element and attribute names are written in typewriter italic (e.g., *img*).

## 2 Derivation of Requirements (Non-Normative)

### 2.1 Use Cases

The normative content of this document is guided by a set of requirements derived from the following use cases.

#### 2.1.1 Controlled Vocabulary in VOResource

In VOResource, in certain use cases clients have to find services that publish a given data collection. This is effected by linking the resource records for service and data with a DataCite-compatible *isServedBy* relationship. Its concrete literal needs to be reliably defined in order to let clients find such relationships by a simple string comparison in RegTAP queries.

A related use case is that validators can flag errors (or at least warnings) when resource records use terms that are not part of some controlled vocabulary (e.g., content levels or types of events in a resource’s history). Very typically, such out-of-vocabulary terms indicate small oversights on the part of the resource record author that will lead to hard-to-debug problems in data discovery.

#### 2.1.2 Controlled Vocabularies in VOTable

VOTable 1.4 constrains two attributes of TIMESYS elements – reference positions and time scales – using vocabularies. With time scales, the situation is not fundamentally different from the VOResource case discussed in use case 2.1.1: a simple enumeration of agreed-upon strings is enough to uniquely determine what operations need to be performed to combine times given in different time scales. With reference positions, however, even if a client does not exactly know the location of, say, the Hubble Space Telescope at any given time, several important use cases can already be satisfied if a client knows it is in lower Earth orbit (e.g., assuming a reference position Geocenter and adjusting the systematic error estimates). For this, a client needs information of the type “*HST is-close-to GEOCENTER*” (or similar).

There is also another difference between this and at least the VOResource relationship vocabulary from use case 2.1.1. The latter is property-like, as in “Resource-1 *isServedBy* Resource-2”. In contrast with this, a time scale

would be used like “Time-coordinate *is-given-in TT*”. In RDFS terminology, time scales are therefore better modelled as classes rather than properties.

### 2.1.3 Datalink Link Selection

In Datalink, clients receive a set of links to pieces of information (e.g., previews, additional metadata, progenitors, or derived data) and need to present to the user only those items relevant to the task at hand. For instance, in a discovery phase, only previews should be offered, while scientific exploitation would call for cutout services, alternate formats, or derived data. For debugging, progenitors should be made accessible, and so on.

Operators of datalink services, on the other hand, want to be precise in their annotation of datasets. For instance, they may want to discern between a dark frame and a flat field in calibration data. Clients should, however, still be able to work out that both sorts of artefacts are progenitors.

### 2.1.4 VOEvent Filtering, Query Expansion

In VOEvent, an event stream can contain a classification of what the observers believe was observed, for instance “supernova Ia explosion”. While an event stream from one project might provide a classification on that level for some event, it might not (yet) be able to do that in another event, and a different event stream might not be able to distinguish between different sorts of supernovae at all.

In this situation, an event broker looking for supernovae of type Ia will filter out anything not related to supernovae. However, since a Ia supernova might be tagged only as “supernova”, it will want to widen its filter somewhat. Some backend process might then prioritise events classified as Ia upstream over those only tagged as a generic supernova, and those, again, over those tagged explicitly as some different type of supernova.

Similar use cases exist, for instance, in the discovery of simulations and possibly for subjects of VO resources.

### 2.1.5 Vocabulary Updates in VOResource

In VOResource 1.0 (Plante and Benson et al., 2008), relationship types like *served-by* or *service-for* were defined. Later, DataCite defined equivalent terms *IsServedBy* and *IsServiceFor*. Arguably, the VO should, as far as sensible, take up standards in the wider data management community, and so VOResource 1.1 adopts the DataCite terms. In a minor version, it cannot forbid the old terms. It can, however, say not only “*served-by* is the same as *isServedBy*” but also “Use the latter term in preference to the former”. If this information is available machine-readably, validators can warn against the use of deprecated terms and user interfaces can transparently replace

deprecated terms with current ones. This latter use case is already specified in RegTAP 1.1 (Demleitner and Harrison et al., 2019).

Another use case in the context of VOResource and vocabulary updating is the definition of content levels. In VOResource 1.0, a list of terms was adopted that was far too fine-grained in the area of public outreach, distinguishing, for instance, “Middle School” from “Secondary Education”. While this granularity was useful for the original realm of the list of terms, in the VO it resulted in extremely inhomogeneous annotation. Obviously, persons employed in research institutions can hardly be expected to assess needs and capabilities of middle school versus elementary school educators. Eventually, for VOResource 1.1 a three-term list was drawn up and is now actually used. To avoid a repetition of such an experience, we want to enable small initial vocabularies easily extendable as new terms are actually needed and the use of the existing terms is well understood.

#### 2.1.6 Vocabularies in VO-DML

The modelling language VO-DML (Lemson and Laurino et al., 2018) lets model designers constrain attribute values using external resources defined through a vocabulary URI and possibly a top concept. The standard mentions both SKOS – inspired by version 1 of this document – and RDFS as possible technologies for such constraints.

Depending on the nature of the attributes constrained, modellers might foresee the need for having these vocabularies managed by the IVOA. Of course, that is up to the modeller: There are certainly many cases in which there is no need for the overhead this specification brings with it, be it because vocabularies are externally defined or because the concrete application profits from less-constrained vocabularies.

#### 2.1.7 Discovering Meanings

Software developers or researchers want to work out what some term mentioned “means” (where we are agnostic as to what “means” should mean here). If the term URI alone is insufficient, they can simply paste the resource URI of the term into a web browser and read (at least) its description and perhaps find out even more using relationships between terms.

#### 2.1.8 Simple Review Process

As vocabularies evolve, new terms are being added to vocabularies. To facilitate their review and enable rapid uptake of the proposed terms, it is desirable that new terms and even new vocabularies are immediately visible to users and tools. Note that since terms under review might be modified or

removed later, this use case is somewhat in conflict with the basic requirement of stable vocabularies (i.e., a document valid once will not become invalid later because of changes in vocabularies).

### 2.1.9 Understanding Vocabulary Evolution

When a question comes up, such as what *calibration* actually means in the datalink core vocabulary, and the (legacy) description is not sufficiently clear, people can go back to the discussions that led up to the addition of that term. This will also help clarify existing usage that might have begun at the time of the initial definition.

### 2.1.10 Offline operation

A system doing, say, coordinate transformations might run without an internet connection but still needs to use semantic resources on frames and reference positions (e.g., figure out that a given space probe is in L1 and use that as reference position). To do that, it wants to use a previously downloaded copy of the vocabulary.

### 2.1.11 UAT in VOResource

VOResource 1.1, in the description of the *subject* element, says that its content “should be drawn from the Unified Astronomy Thesaurus”. This is intended to later facilitate interactive topic navigation within the Registry or semantic expansion of Registry queries (“include narrower terms”).

## 2.2 Requirements

### 2.2.1 Lists of Terms

We need to be able to represent simple lists of terms even for the most basic use case 2.1.1. As per use case 2.1.2, we will have to represent instances of both *rdf:Property* and *rdfs:Class* (though not necessarily in one vocabulary). In order to not break existing practices (e.g., use cases 2.1.1, 2.1.2, 2.1.3), the machine-readable terms must be allowed to follow existing patterns of essentially human-readable identifiers (against external best practices of using non-informative URI forms). In general, in essentially all use cases discussed, making the machine-readable terms discernable by a human is an advantage.

### 2.2.2 Hierarchies of Terms

Both use case 2.1.3 and use case 2.1.4 require a hierarchy of terms, where clients can find wider and narrower terms relative to an original one. There is a difference, however: in the datalink use case, strict *is-a* relationships are

what clients need (e.g., “give me all kinds of previews”). In the VOEvent case, however, a somewhat softer sort of hierarchy is required. For instance, a filter for accretion disks might very well expand to match both quasars and cataclysmic variables. Hence, we want to be able to represent strict class hierarchies as well as thesaurus-like soft knowledge structures.

### 2.2.3 Tree-like Hierarchies

Where we expect some sort of semi-formal inference to take place on the vocabularies, the hierarchy should be a tree in order to facilitate traversal and controlled query expansion. In other words, outside of SKOS we do not support multiple inheritance. Use cases requiring something equivalent would have to resort to supporting multiple terms on the annotation level.

### 2.2.4 Consensus Vocabularies

Essentially all our use cases will be much easier to implement if clients can work through simple string comparisons. Therefore, wherever feasible IVOA standards should build on IVOA-sanctioned, consensus vocabularies.

### 2.2.5 Deprecating Terms

While we believe at this point that terms once approved by the IVOA should never disappear – for instance, because validators might otherwise flag previously valid instance documents as invalid –, use case 2.1.5 shows that some way of declaring deprecations must be foreseen.

### 2.2.6 Public Availability of Machine-Readable Vocabularies

In particular in use cases 2.1.3 and 2.1.4, clients can flexibly incorporate vocabulary updates without code changes, perhaps even without re-deployment, if vocabularies are available at constant, public URIs. Using these, clients must be able to retrieve vocabulary data in formats reasonably easy to parse.

Use case 2.1.7 implies that at least one representation of the vocabulary should be human-readable.

### 2.2.7 Minimal Term Metadata

To support use case 2.1.7, all terms in IVOA vocabularies must come with a non-trivial description.

### 2.2.8 Simple Cases do not Require RDF Tooling

(Not derived from any specific use case). Since libraries implementing (some subset of) RDF tend to be rather massive and thus appear unproportional when all a client wants is an up-to date list of terms with their descriptions, at least the basic use cases must not require specific RDF tooling. Indeed, simple uses should not require an understanding of RDF in the first place.

### 2.2.9 Vocabulary Evolution

Most use cases make it desirable that terms can be added to existing vocabularies; this is very clear for the reference positions in use case 2.1.2, where new instruments would imply new terms. The history of content level annotation in VOResource mentioned in use case 2.1.5 illustrates the desirability of a simple process that invites standard authors to start with minimal vocabularies, relying on later extensions.

### 2.2.10 Traceable Provenance

To satisfy use case 2.1.9, the considerations that led to the adoption or modification of a term must be documented publicly in sufficient detail. It is clearly an advantage if a brief, accessible summary of these considerations can easily be found without, say, resorting to version control logs.

### 2.2.11 Preliminary Vocabularies and Terms

In use case 2.1.8, it is desirable to admit “preliminary” vocabularies and terms. For these, both humans and machines must be able to discern a temporary status, and their use implies that the general rule “once valid, always valid” does not apply. Validators and similar software could then add notices to that effect in their outputs.

### 2.2.12 Vocabulary Files are Usable Stand-Alone

Vocabulary files need to be cacheable without applications having to manage extra metadata (e.g., the URL from which the file was obtained) in order to easily satisfy use case 2.1.10 (or other scenarios in which vocabulary content cannot be retrieved from the IVOA site for each session).

### 2.2.13 Externally Curated Vocabularies and VO Tooling

Regrettably, VOResource does not explain how use case 2.1.11 would look like in actual documents, and the example given in the document clearly does not use UAT concepts.

The first difficulty in a straightforward uptake is that UAT URIs look like <http://astrothesaurus.org/uat/1774>. Given that, should publishers have such URIs in *subject*? Or should they rather use just the last URI segment for conciseness? Or perhaps the preferred labels, in keeping with the style of existing subject content and its use by clients (which typically look for natural language in subject), even though the labels are not considered stable?

Regardless of how VOResource clarifies this matter, UAT artefacts (e.g., SKOS files) do not match some of our other requirements. In particular, the human-readable URIs from 2.2.1, the specific way we satisfy 2.2.6, and the non-RDF requirement 2.2.8 are not immediately satisfied by the UAT as distributed at the time of writing.

For simple, uniform use of such externally curated vocabularies, it should be possible to have some sort of endorsement process and then distribute the vocabularies in a form compliant with this specification. This will entail IVOA-specific concept URIs, and we must be able to express that these resources have the same meaning as the ones externally maintained.

## 2.3 Non-Requirement

This specification is not called “Semantics in the VO” or the like because we do *not* intend to prescribe ways to turn any VO artefact into RDF triples<sup>2</sup>. Indeed, for many existing vocabularies, it is left open what exactly the domain or range of properties might be or what subject and predicate the classes or concepts should be used with.

This is partly because this would substantially complicate the generation of vocabularies, which would quickly turn into proper ontologies. Another consideration is that the information encoded by triples generated in this way has traditionally been expressed using techniques developed by the Data Models working group in the VO.

In particular with a view to later use in linked data scenarios, vocabulary authors should nevertheless take care that, given appropriate properties or annotation tools, the vocabularies *could* be used in meaningful RDF triples.

Conversely, this specification is written with future “deeper” semantics in the VO in mind; tools restricting their operations to the ones discussed here should not break when future specifications enrich existing vocabularies towards full ontologies.

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<sup>2</sup>i.e., basic statements of the form (subject, predicate, object) within the RDF; see page 8 of Gray (2015) for a less terse definition.

## 3 Using IVOA Vocabularies without RDF Tooling

RDF is a powerful system for expressing a wide range of semantics and enriching various documents with semantic information in a globally distributed fashion. Due to its generality, handling its artefacts is relatively involved and in general requires special tooling, non-negligible investment in understanding RDF, and non-trivial management of URIs and prefix mappings.

To lower the bar for an adoption of IVOA vocabularies [requirement 2.2.8], they are given in two formats usable without RDF tooling or, indeed, deeper knowledge of RDF. This section discusses these.

### 3.1 Choosing Terms From IVOA Vocabularies (non-normative)

Resource annotators can usually treat IVOA Vocabularies as simple lists of (case-sensitive) strings with human-readable labels and definitions. These lists can be inspected with a simple web browser.

Each IVOA vocabulary has an associated URI starting with <http://www.ivoa.net/rdf>. Dereferencing that URI yields a list of the vocabularies approved or under review.

An individual vocabulary has a URI like <http://www.ivoa.net/rdf/reposition>. Dereferencing this URI with a web browser (or, indeed, any user agent indicating it prefers text/html media) redirects to a tabular representation of the vocabulary, giving:

- *terms* – i.e., the strings actually used in annotation,
- *labels* – i.e., strings that should be presented to humans instead of the slightly formalised terms, and
- *descriptions*, which should be sufficiently precise to allow someone with a certain amount of domain expertise to decide whether a certain “thing” is or is not covered by the term (or more precisely, the underlying concept).

Some terms may be marked as deprecated, in which case they should no longer be used in new annotations. In most cases, deprecated terms will come with information about what to use instead.

Some terms may be marked as preliminary. Such terms might disappear without further notice. Casual users should avoid the use of such terms; if they find they want to use them, the semantics working group requests notification over its mailing list, since such use is clearly relevant to the term’s adoption process.

Once a term is located within the HTML page, annotators can usually directly use it in instance documents. For instance, continuing the *reposition*



example, the string **BARYCENTER** found in the vocabulary is directly used in VOTable’s TIMESYS element.

Some applications (Datalink being the prime example) instead use URIs relative to the vocabulary URI. In practical terms, this just means that a hash sign is prepended to the term (e.g., **#progenitor**).

This latter practice builds on the property of IVOA vocabularies that if one adds the term as fragment to the vocabulary URI (e.g., <http://ivoa.net/rdf/refposition#BARYCENTER>), that URI is the full, RDF-compliant resource identifier of the concept. When used in HTML-aware user agents (such as a web browser), dereferencing this URI (i.e., opening it) will give the table of terms with the chosen term highlighted. How exactly this is represented depends on the user agent.

## 3.2 Semantic Operations Without RDF Tooling

Many VO components need a machine-readable representation of the entire vocabulary, for instance in order to (cf. sect. 2.1):

- display labels and descriptions for terms to users,
- perform query expansion or similar exploitation of hierarchical relationships, or
- validate annotated instances for the use of correct and current terms.

### 3.2.1 Vocabularies in desise

To let VO programs perform such tasks with minimal technical overhead, in addition to the RDF artefacts described in sect. 6, IVOA vocabularies are also available in an ad-hoc format defined here for VO-internal use, nicknamed “desise” (“dead simple semantics”). Clients can retrieve vocabularies in desise by requesting the vocabulary URI with the HTTP accept header set to **application/x-desise+json**.

What is returned is a JSON-encoded (Bray, 2017) mapping (“object” in JSON terms) containing the following keys (all mandatory):

*uri* The vocabulary URI. All terms occurring in desise documents can be turned into full, RDF-compliant resource URIs by prefixing them with this URI and a hash character.

*flavour* The flavour of the vocabulary (can generally be ignored; see sect. 4).

*terms* A JSON object mapping the (machine-readable) terms to a JSON object giving the term’s properties as described below. The keys in *terms* are the strings used in machine-readable data.

The JSON objects present as values in the terms object can have the following keys:

*label* (mandatory) A human-readable label for display purposes; clients should always try to display this rather than the raw term.

*description* (mandatory) A human-readable definition of the underlying concept.

*deprecated* present and mapped to a reserved value if the term is deprecated and should no longer be used; validators will warn against its use.

*preliminary* present and mapped to a reserved value if the term is preliminary, meaning that in contrast to the other, “eternal” terms it can disappear again; validators should qualify a validation as preliminary if a document uses such a term.

*wider* (mandatory) A JSON array of “wider” terms. Most IVOA vocabularies are tree-like, and for them, there is only up to one term in here, which would be the the parent node, which is the hypernym of the current term. In SKOS-flavoured vocabularies, multiple terms can be here, and the meaning of “wider” is a bit less clear-cut. The *wider* list is empty for top-level terms.

*narrower* (mandatory) A JSON array of “narrower” terms. In SKOS-flavoured vocabularies, that is just a list of all terms that list the current term as wider. Otherwise, the vocabularies are tree-like and *narrower* is a list of all terms on the term’s branch and below it in the tree (it is the “transitive closure of the inverse of wider”). This is much more easily understood in an example, which we give below in the discussion on addressing use case 2.1.3.

Note that, while *wider* and *narrower* are mandatory keys, their values can of course be empty lists.

See appendix D for a example of a vocabulary represented in desise.

### 3.2.2 Working with desise (non-normative)

For illustration, here are recipes showing how to address the various use cases in Python:

**Load a vocabulary** Using the popular requests module:

```
import requests
voc = requests.get(
    "http://www.ivoa.net/rdf/uat",
    headers={"accept": "application/x-desise+json"}
).json()
```

Note, however, that non-trivial clients should cache files retrieved in this way for a reasonable time span; IVOA vocabularies typically do not change on time scales of months.

#### See if a term is in the vocabulary (2.1.1, 2.1.2)

```
term in voc["terms"]
```

#### See if a term is deprecated (2.1.5)

```
"deprecated" in voc["terms"][term]
```

#### Find a human-readable label for a term (2.1.7)

```
voc["terms"][term]["label"]
```

#### Find a human-readable description for a term (2.1.7)

```
voc["terms"][term]["description"]
```

#### Find out if a term is preliminary (2.1.8)

```
"preliminary" in voc["terms"][term]
```

**Query expansion: select branch** (in 2.1.3, select all progenitors, including flat fields, dark frames, etc)

```
base_term = "progenitor"
expanded_terms = set(
    [base_term]
    +voc["terms"][base_term]["narrower"])
is_match = datalink_row["semantics"][1:] in expanded_terms
```

#### SKOS-type query expansion by neighbouring terms (2.1.4)

```
assert voc["flavour"]=="SKOS"
expanded_terms = set(
    [base_term]
    +voc["terms"][base_term]["narrower"]
    +voc["terms"][base_term]["wider"])
is_match = keyword_found in expanded_terms
```

## 4 Vocabulary Content

IVOA vocabularies MUST be based on W3C's Resource Description Framework. Details on required serialisations are given in sect. 6. This section deals with what kinds of statements users of IVOA vocabularies SHOULD evaluate to ensure interoperability. Statements of other types are legal in

IVOA vocabularies but are not expected to be interpreted interoperably. Clients MAY ignore them.

In IVOA vocabularies, the concept URI MUST begin with <http://www.ivoa.net/rdf><sup>3</sup>. It is recommended to not introduce additional hierarchy levels, i.e., vocabulary URIs SHOULD be direct children of `rdf`<sup>4</sup>.

Since all vocabularies specified here are single-file, the full term (i.e., RDF resource) URI is formed by appending a hash sign and a fragment identifier. In IVOA vocabularies, this fragment identifier MUST consist of ASCII letters, numbers, underscores and dashes exclusively [for requirement 2.2.6].

The fragment identifiers in the vocabulary URIs SHOULD be human-readable, usually by suitably contracting the preferred label. In the IVOA, we do *not* use natural language-neutral concept identifiers but instead expect that domain experts will already have an impression of a term’s meaning from looking at its URI.

Examples of URIs in the recommended form include:

- <http://www.ivoa.net/rdf/ivoasem#preliminary> for a preliminary term by this specification.
- <http://www.ivoa.net/rdf/timescale#TT> for the Terrestrial Time time scale.
- <http://www.ivoa.net/rdf/uat#active-galactic-nuclei> for the concept “Active Galactic Nuclei”.

In this specification, we distinguish three different “flavours” of vocabularies. Each covers a particular domain of problems and is therefore subject to different requirements. Although the requirements are largely non-contradicting, each vocabulary must be clearly identified as *either* giving SKOS concepts, RDFS classes or RDF properties so clients know how to extract word lists and hierarchies; see sect. 4.4 for details.

## 4.1 SKOS Vocabularies

SKOS vocabularies should be used where terms are organised in informal (i.e., non necessarily strict is-a) hierarchies. The classic use case here is query expansion, where, for instance, a search for “AGN” might be expanded to include matches for “accretion disk” (under certain circumstances).

The terms in SKOS vocabularies have the RDF type *skos:Concept*.

---

<sup>3</sup>In retrospect, the unnecessary “www” in this URI is somewhat regrettable, but existing vocabularies have used URIs including it, and it seems a small price to pay for having uniform URIs.

<sup>4</sup>Some existing vocabularies do not follow this rule; since vocabulary URI changes will break certain usage scenarios, their URIs are still retained.

### 4.1.1 Properties in SKOS Vocabularies

IVOA SKOS vocabularies use the following properties:

- *skos:broader* – interpreted in the standard SKOS sense. The reverse property, *skos:narrower*, MAY be given, but clients MUST NOT depend on their presence [this satisfies requirement 2.2.2].
- *skos:prefLabel* – all concepts MUST have an English-language preferred label, which is an RDF plain literal [by requirement 2.2.7]. No RDF language label is allowed on the literal, and only one preferred label is permitted [these help requirement 2.2.8].
- *skos:definition* – all concepts MUST have a non-trivial English-language definition. It is obviously impossible to define “non-trivial” in a rigorous way; a suggested criterion is that a domain expert would, given the definition, presumably arrive at a similar preferred label, and recursive definitions (i.e., those using the label itself) should be avoided whenever possible. Definitions in non-English languages are not permitted, and only one definition is permitted [again, this helps requirement 2.2.7].
- *skos:exactMatch* – for externally managed vocabularies the IVOA has endorsed (see sect. 5.3), this property links the IVOA term (subject) to the external RDF resource (object) [mostly for requirement 2.2.13].
- General properties discussed in 4.4 [this is for requirements 2.2.5 and 2.2.11]. The *ivoasem:vocflavour* of these vocabularies is SKOS.

This specification does not include requirements on the use or the interpretation of *skos:related*, *skos:closeMatch*, *skos:broadMatch*, *skos:narrowMatch*, *skos:ConceptScheme*, *skos:inScheme*, *skos:hasTopconcept*, *skos:altLabel*, and *skos:hiddenLabel*. If use cases are found that require those, this specification will be amended. Until then, vocabulary authors SHOULD NOT use them in order to avoid creating practices that might conflict with later usage patterns.

This specification does not include requirements on the use or the interpretation of the transitive SKOS properties (*skos:broaderTransitive*, *skos:narrowerTransitive*). At this point, we believe that applications requiring this type of reasoning-friendly semantics should preferably use RDF class vocabularies.

### 4.1.2 Example (non-normative)

Here is a term from a SKOS vocabulary conforming to this specification in RDF/XML serialisation:

```

<skos:Concept rdf:about="http://ivoa.net/rdf/AstronomicalObjects#AGN">
  <skos:prefLabel>AGN</skos:prefLabel>
  <skos:definition>A compact object in the center of a galaxy showing
    unusual emission ("active galactic nucleus").</skos:definition>
  <skos:broader rdf:resource
    ="http://ivoa.net/rdf/theory/AstronomicalObjects#OpticalSource"/>
  <skos:broader rdf:resource
    ="http://ivoa.net/rdf/theory/AstronomicalObjects#CompoundObject"/>
</skos:Concept>

```

## 4.2 RDF Properties Vocabularies

RDF properties vocabularies should be used when the terms in the vocabulary are mainly used to state relationships between entities that can sensibly be imagined as resources in the RDF sense. Such terms would naturally be used as predicates in RDF triples. Obvious examples might be something like is-progenitor-for in a provenance chain or, indeed, the special properties for IVOA vocabularies introduced in sect. 4.4.

The terms in RDF Properties vocabularies have the RDF type *rdf:Property*.

### 4.2.1 Properties in RDF Properties Vocabularies

IVOA RDF properties vocabularies use the following properties (where not specified, the requirements considered essentially match those in sect. 4.1.1):

- *rdfs:label* – all terms MUST have an English-language label, and clients should prefer it over the fragment in the term URI for presentation purposes. Only one such label is permitted.
- *rdfs:comment* – all concepts MUST have a non-trivial English-language comment serving as a human-oriented definition of the term. The considerations for *skos:definition* in sect. 4.1.1 apply. As for those, only one *rdfs:comment* per term is allowed.
- *rdfs:subPropertyOf* – interpreted as in RDFS to induce the hierarchy of terms; a term MUST NOT appear as subject of more than one *rdfs:subPropertyOf* triple (i.e., the hierarchy is a tree).
- General properties discussed in sect. 4.4. The *ivoasem:vocflavour* of these vocabularies is RDF Property.

### 4.2.2 Example (non-normative)

```

<rdf:Property rdf:about
  ="http://www.ivoa.net/rdf/datalink/core#preview-image">
  <rdfs:comment>preview of the data as a 2-dimensional
    image</rdfs:comment>
  <rdfs:label>Image preview</rdfs:label>
  <rdfs:subPropertyOf rdf:resource
    ="http://www.ivoa.net/rdf/datalink/core#preview"/>
</rdf:Property>

```

## 4.3 RDF Class Vocabularies

RDF class vocabularies should be used when the terms in the vocabulary are reasonably class-like, i.e., would usually be either subjects or objects in RDF triples. As opposed to SKOS vocabularies, the hierarchy implied is strict in the sense of *rdfs:subClassOf* (roughly: statements that are true for a wider term must be true for a more specialised term, too). This lets clients confidently perform inferences.

For instance, coordinates in the FK4 reference frame are equatorial, and thus even a client unfamiliar with the FK4 frame as such can confidently infer that the coordinates are right ascension and declination, and that right ascensions increase eastwards. Reasoning of this type is impossible within a SKOS vocabulary.

The terms in RDF Class vocabularies have the RDF type *rdfs:Class*.

### 4.3.1 Properties in RDF Class Vocabularies

IVOA RDF class vocabularies use the following properties:

- *rdfs:label* – all terms MUST have an English-language label, and clients should prefer it over the term (the fragment of the term URI) for presentation purposes. Only one such label is permitted.
- *rdfs:comment* – all concepts MUST have a non-trivial English-language comment serving as a human-oriented definition of the term. The considerations for *skos:definition* in sect. 4.1.1 apply. As for those, only one *rdfs:comment* per term is allowed.
- *rdfs:subClassOf* – interpreted as in RDFS to induce the hierarchy of terms; a term MUST NOT appear as subject of more than one *rdfs:subClassOf* triple (i.e., the hierarchy is a tree).
- General properties discussed in 4.4. The *ivoasem:vocflavour* of these vocabularies is *RDF Class*.

### 4.3.2 Example (non-normative)

Here is a term from an RDF class vocabulary conforming to this specification in RDF/XML serialisation:

```
<rdfs:Class rdf:about="http://www.ivoa.net/rdf/refframe#FK5">
  <rdfs:comment>
    Positions based on the 5th Fundamental Katalog. If no equinox is
    [...]
  </rdfs:comment>
  <rdfs:label>FK5</rdfs:label>
  <rdfs:subClassOf rdf:resource
    ="http://www.ivoa.net/rdf/refframe#EQUATORIAL"/>
</rdfs:Class>
```

## 4.4 General Properties

To cover requirements 2.2.5 and 2.2.11 and to facilitate the handling of vocabularies not directly retrieved via HTTP (which means that the application may not know the vocabulary URI a priori; cf. requirement 2.2.12), the Semantics WG defines some properties of its own in the vocabulary <http://www.ivoa.net/rdf/ivoasem>. The following properties may be used in all three vocabulary flavours:

- *dc:created* – IVOA vocabularies MUST include exactly one triple with the vocabulary as subject and a predicate *dc:created*. The object is the timestamp of the vocabulary in YYYY-MM-DD format. Clients may only use this for debugging and similar purposes.
- *ivoasem:vocflavour* – IVOA vocabularies MUST include exactly one triple with the vocabulary as subject and a string literal specifying the kind of vocabulary as per this specification. The “General properties” bullet points of sects. 4.1.1 (SKOS), 4.2.1 (RDF Property), and 4.3.1 (RDF Class) define what strings may occur here.
- *ivoasem:preliminary* – this property indicates that a term is preliminary and might disappear from the vocabulary without warning. The object of triples using it is a blank node. Validators need not warn against the use of preliminary terms, but as they encounter them, they SHOULD qualify their validation to the effect that it is temporary.
- *ivoasem:deprecated* – this property indicates that a term is deprecated. The object of triples using it is a blank node. Validators SHOULD issue warnings if such terms are encountered.
- *ivoasem:useInstead* – for a deprecated term, the objects of RDF triples using this property indicate which terms should be used instead of the deprecated one. This property MUST NOT be used with non-deprecated subjects.



#### 4.4.1 Example (non-normative)

The following snippets show RDF/XML triples using the common terms, taken from the existing `relationship_type` vocabulary; the notation `__` as a blank node is an implementation detail and must not be relied upon. In general, where `ivoasem` properties take blank nodes as objects, clients should normally just ignore the objects.

```
<rdf:Description rdf:about
  ="http://www.ivoa.net/rdf/voresource/relationship_type">
  <dc:created>2016-08-17</dc:created>
</rdf:Description>
<rdf:Description rdf:about
  ="http://www.ivoa.net/rdf/voresource/relationship_type">
  <ivoasem:vocflavour>RDF Property</ivoasem:vocflavour>
</rdf:Description>
<rdf:Description rdf:about
  ="http://www.ivoa.net/rdf/voresource/relationship_type#IsPartOf">
  <ivoasem:preliminary rdf:resource=
    "http://www.ivoa.net/rdf/voresource/relationship_type#__"/>
</rdf:Description>
<rdf:Description rdf:about
  ="http://www.ivoa.net/rdf/voresource/relationship_type#derived-from">
  <ivoasem:deprecated rdf:resource
    ="http://www.ivoa.net/rdf/voresource/relationship_type#__"/>
  <ivoasem:useInstead rdf:resource
    ="http://www.ivoa.net/rdf/voresource/relationship_type#IsDerivedFrom"/>
</rdf:Description>
```

## 5 Vocabulary Management

This section discusses the processes through which new vocabularies can be defined and how vocabulary updates are performed in way that ensures community participation and at least a minimal level of consensus. Procedures here primarily address requirements 2.2.4, 2.2.9 and 2.2.10.

In the following, the phrase “chair of the Semantics WG” is understood to mean “chair or vice-chair of the Semantics WG, or a person designated by them for the purpose with the consent of the TCG”.

### 5.1 New Vocabularies

New vocabularies in the VO should be introduced with a document going through the normal IVOA approval process, i.e., intended to become a recommendation or an endorsed note, with RFC as described in the IVOA Document Standards (Genova and Arviset et al., 2017).

At the discretion of the chair of the Semantics WG, the vocabulary is uploaded to the vocabulary repository when a document reaches the state of a Working Draft. At the latest, the vocabulary is uploaded when the

document becomes a Proposed Recommendation or a Proposed Endorsed Note in order to support a thorough review and reference implementations.

The entire vocabulary is marked human-readably as preliminary in the vocabulary index (cf. sect. 6). All terms in the vocabulary are marked as preliminary using the *ivoasem:preliminary* property (cf. sect. 4.4) in order to satisfy requirement 2.2.11.

The entire new vocabulary gets approved as the document introducing it reaches the status of Recommendation or Endorsed Note. At that point, all its terms become un-deprecated. From then on, it is managed by the Semantics WG using the process defined in the next section.

Once approved (i.e., no longer marked as preliminary), terms in IVOA vocabularies cannot be removed. They can, however, be marked as deprecated.

## 5.2 Updating Vocabularies

IVOA vocabularies can be extended as domain requirements develop [requirement 2.2.9]. Clients should therefore be designed such that they gracefully deal with terms that have not been part of the vocabulary at build time, typically by exploiting information in the vocabulary, perhaps by falling back to wider, known terms, or by presenting their users labels and descriptions for terms not explicitly handled.

### 5.2.1 Vocabulary Enhancement Proposals

To add one or more terms to a vocabulary, to introduce deprecations or to change term labels, descriptions, or relationships, an interested party – not necessarily affiliated with the Working Group that has originally introduced the vocabulary – prepares a Vocabulary Enhancement Proposal (VEP). In the interest of thorough review and topical discussion, a single VEP should only cover directly related terms. For instance, in a vocabulary of reference frames, it would be reasonable to add old-style and new-style galactic frames in one VEP, but not, say, azimuthal and supergalactic coordinates. The arguments for both terms in the former pair are rather analogous<sup>5</sup>. In the latter case, two very different rationales would have to be put forward, which is a clear sign that two VEPs are in order.

A VEP is a semistructured text file containing the following items:

- *Vocabulary*: The URI of the vocabulary
- *Author*: Contact information for the author(s) of the VEP.

---

<sup>5</sup>This does not rule out that, in the example, one might argue that old-style galactic coordinates are so ancient that perhaps they should not be supported in the VO at all; the chair of the Semantics WG might then decree that the VEP still needs to be split.

Vocabulary: <http://www.ivoa.net/rdf/datalink/core>  
Author: msdemlei@ari.uni-heidelberg.de  
Date: 2019-07-19

Term: IsPreviousVersionOf  
Action: Addition  
Label: Newer Version  
Description: This dataset in a previous edition, e.g., processed with an older pipeline, as part of an older data release.  
Relationships: rdfs:subPropertyOf(this)  
Used-in: <http://example.org/datalink?ID=doc-v1>

Term: IsNewVersionOf  
Action: Addition  
Label: Previous Version  
Description: This dataset in a newer edition, e.g., processed with a newer pipeline, as part of a newer data release.  
Relationships: rdfs:subPropertyOf(this)  
Used-in: <http://example.org/datalink?ID=doc-v2>

#### Rationale:

The terms are mainly intended for projects with data releases. IsPreviousVersionOf allows services to mark up links to (typically datalink documents for) later version(s) of this data set. It allows a client to alert users that a newer, probably improved, rendition of the current dataset is available and should presumably be used instead of what they are looking at. The inverse relationship, IsNewVersionOf, is useful if projects want to keep previous versions of the dataset findable without having them show up in the default queries.

The terms are taken from the relationship types of DataCite.

*Figure 2: A sample VEP.*

- *Date:* The date on which the VEP was posted.
- *Term:* The identifier of the term to be added, modified, or deleted.
- *Action:* one of *Addition*, *Deprecation*, or *Modification*.
- *Label:* The English-language, human-readable label of the term.
- *Description:* The description that will come with the term.
- *Relationships:* If applicable, relationships the new term will have to existing terms, using the properties defined in the present document.

- *Used-In*: At least one URI of a document using the proposed term.
- *Rationale*: A discussion of use cases, the role of the term in the vocabulary, and the like. In particular, the item(s) in *Used-In* should be commented on.

The items *Term*, *Action*, *Label*, *Description*, *Used-in*, and *Relationships*, may be repeated if multiple terms are affected by a VEP. In *Addition* VEPs, all items except *Relationships* are mandatory.

When *Action* is *Deprecation*, *Label*, *Description*, and *Relationships* are optional but can be given if useful for understanding the VEP. The rationale MUST discuss the reasons for a deprecation. Usually, one or more replacement term(s) will be proposed within the same VEP.

When *Action* is *Modification*, *Label*, *Description*, and *Relationships* give the proposed new values of the term. The term itself cannot be modified. The rationale will usually detail the changes proposed while mentioning the previous values.

We do not expect the VEPs to be evaluated by machines. Therefore, we define no grammar for the markup of sections, section headers, and their content. It is still recommended that authors follow the formatting of the example in Fig. 2.

### 5.2.2 Publishing a VEP

No formal process for submitting a VEP is defined here; authors can, if they choose to do so, simply send them via e-mail to the chair of the Semantics WG, for instance. Authors are, however, encouraged to follow the recommended procedure laid out in Appendix C.1.

The chair of the Semantics WG will perform a formal validation of submitted VEPs, in particular as regards the presence of all required items and syntactically valid relationships. No assessment of the contents is done at this stage.

VEPs formally valid then receive a running number. The first VEP was VEP-001, the second VEP-002, and so on. The chair of the Semantics WG adds the new VEP to the public index of VEPs as “Current” (see Appendix B for the technical details). This index has a link to each VEP’s text as kept in the IVOA’s designated version control system.

Once the VEP is uploaded, it is announced to the IVOA Semantics Working Group and all other IVOA Working Groups concerned (again, the technical details are found in Appendix B). The chair of the Semantics WG can extend the distribution as they see fit. The announcement in particular contains a copy of the VEP in question.

As soon as possible after the upload, the chair of the Semantics WG adds any term(s) proposed to the vocabulary as a preliminary term using the

*ivoasem:preliminary* property. This means that the terms can immediately be used without raising warnings or errors, but in contrast to approved terms, they may disappear again. Deprecation or modification VEPs have no immediate effect.

### 5.2.3 Approval Process

Discussion of a VEP takes place in the WGs' discussion forums (again, see Appendix B). The chair of the Semantics WG will summarise the discussion in the VEP in a *Discussion* section.

During the process, all parts of the VEP may be changed except the term(s) proposed.

Once the chair of the Semantics WG sees a sufficient consensus reached, they announce the VEP in the TCG. If, at the next meeting of the TCG, no Working Group objects to the VEP, it is accepted and the marker that a term is preliminary is removed from the relationships of any terms added by the VEP. In the case of deprecation or modification VEPs, the requested actions are taken at this point.

If, on the other hand, discussion of an addition request results in the realisation that terms proposed need to be changed, the VEP in question must be withdrawn, its effects on the vocabulary be undone, and zero or more new VEPs are posted containing proposals for terms for which consensus appears feasible. The VEP withdrawn receives a *Superseded-by* item referencing any new VEPs, any new VEPs have a *Supersedes* item referencing the original VEP.

### 5.2.4 Guidelines for Creating Concepts (non-normative)

When introducing terms, it is useful to consider a very simple semantic model, where the world is a set of (tangible or non-tangible) “things” in the sense of naive set theory.

A vocabulary has a scope, which is a subset of the world; this could be “reference systems” or “astronomical object types” or even something as concrete as “observatories”.

In this picture, a term denotes a certain subset of a vocabulary's scope. This set is called the term's (or, where an additional level between the concrete letters making up the term as defined by this document and the set is useful, the concept's) “extension”.

Now, in an ideal vocabulary the extensions of its top-level terms are disjunct (meaning: each thing in scope of the vocabulary belongs to not more than one top-level term's extension) and the terms cover the entire scope (meaning: for each thing in the scope, there is at least one term's extension that contains that thing). The top-level terms are equivalence classes over the vocabulary's scope.

Where vocabularies are hierarchical, analogous considerations would apply for the extensions of a general term and its more specialised terms.

When natural language and the real world are involved, this ideal generally is unreachable. But when proposing a term and its definition, authors should try to make sure that

1. their new term has a useful extension (i.e., consumers actually want to know whether a thing is or is not inside it)
2. the extension is reasonably disjunct from existing terms, or is a true superset (in which case the other terms are narrower), or is a true subset (in which case they are wider) of other terms' extensions.

Put another way: When designing terms, it is as important to say what is not covered as to clearly say what is.

This is a major reason why it is important to give clear definitions whenever these definitions are not uniquely given by the domain. For instance, while an object type vocabulary probably does not need to be very diligent in defining  $\delta$  Cephei stars because the extension of that term is uncontroversial to first order<sup>6</sup>, a term like “dataset” should come with a precise definition, ideally containing a reference to a longer explanation.

### 5.3 Externally Managed Vocabularies

The IVOA is not the only body developing vocabularies, and of course VO components are free to use other, non-IVOA vocabularies whenever convenient or even required for interoperability beyond the IVOA.

Sometimes, however, it is advantageous to subject an external vocabulary to the requirements set forth by this specification. The motivating use case here is 2.1.11, the Unified Astronomy Thesaurus. As derived in requirement 2.2.13, multiple considerations make a “mirror” of the vocabulary in the IVOA RDF repository highly desirable. Regrettably, since RDF resources (i.e., what we call terms here) are identified by their full URIs, this will create new RDF resources, and hence care must be taken that RDF tools can work out the identity of the mirrored IVOA terms and the original RDF resources.

Also, the processes from sects. 5.1 and 5.2 obviously cannot apply to such vocabularies, which have their own management procedures.

To address these issues, the following rules apply:

When a vocabulary managed by an IVOA-external body needs to be made available in the form prescribed by this specification, a proposal for doing this needs to pass the endorsed notes process of the IVOA as laid out in

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<sup>6</sup>Although it might seem desirable to clarify whether, say, W Virginis stars are or are not excluded

the IVOA Document Standards (Genova and Arviset et al., 2017). As it concerns external relationships of the IVOA, it additionally needs endorsement by the IVOA Executive Committee to become effective.

This proposal has to specify:

- The basic metadata for the vocabulary on the IVOA side.
- The rules for mapping the external RDF resource URIs to IVOA term URIs, together with a plan for how this mapping is kept stable.
- If during the mapping of the vocabulary, external RDF triples are discarded (which likely is necessary to ensure adherence to our constraints), what triples are discarded.
- A description of and reference to software that performs this mapping.
- A description of the external management process.

The proposing party has to provide software to automatically translate resources from the external format to a suitable input for the IVOA vocabulary tooling.

Each term in the IVOA vocabulary mirror MUST declare its identity to the original, external RDF resource. At this point, this is only defined for SKOS-flavoured vocabularies, where the IVOA term must be the subject of exactly one triple with the *skos:exactMatch* property. The object of that triple is the URI of the external RDF resource.

For other flavours, no such mechanism is defined in this version of the specification, which means that for now, externally managed vocabularies must use the SKOS flavour.

Once an external vocabulary is endorsed by both the TCG and the Executive Committee, the chair of the Semantics working group has the responsibility to keep the IVOA mirror of the vocabulary synchronised, ideally by using a monitored, automatised process like a post-commit action on an external version control system.

## 6 Publishing Vocabularies

This section is an adaptation of Sauermann and Cyganiak (2008) and is intended to satisfy requirements 2.2.6 and 2.2.7. It also briefly discusses how IVOA vocabularies should be referenced.

### 6.1 Deploying Vocabularies

All IVOA-approved vocabularies are accessible as children of <http://www.ivoa.net/rdf>. Dereferencing that URI will lead to an index of current

approved and proposed vocabularies. Vocabularies still under review are clearly marked as such.

When dereferencing a vocabulary URI, clients will receive an HTTP 303 (See Other) code, with the `Location` header set to the last version of the vocabulary. The version is written as the date of the last update in the format YYYY-MM-DD. Depending on the value of the request's accept header, the redirect will end up at

- an HTML rendition of the vocabulary by default. The HTML element corresponding to a term has the term (i.e., the fragment identifier in the term's URI) as its HTML id ; hence a URI `<vocabulary URI>#<term>` will immediately focus the term's HTML rendition in common user agents [requirement 2.2.7].
- a Turtle rendition of the vocabulary if the accept header indicates that `text/turtle` documents are preferred.
- an RDF/XML rendition of the vocabulary if the accept header indicates that `application/rdf+xml` documents are preferred.
- an ad-hoc JSON rendition of the vocabulary as specified in sect. 3.2 if the accept header indicates that `application/x-desise+json` documents are preferred.

Individual vocabularies may be available in additional formats. Content negotiation might then consider additional media types.

Clients may record the full versioned URI of the vocabulary used for debug or provenance purposes. These URIs, however, MUST NOT be used externally. In particular, a URI like `http://www.ivoa.net/rdf/example/2019-07-14/example.html#term` has no RDF meaning by this standard and must never be used in publicly visible RDF triples. Always use URIs of the form `http://www.ivoa.net/rdf/example#term`.

## 6.2 Referencing Vocabularies

Since IVOA vocabularies, at least after some time, generally are a collective effort with a continuous evolution, it is inappropriate to cite them in the conventional author-year-title format.

However, the vocabulary URI is intended to be stable and uniquely identifies the vocabulary as such. Hence, this URI is what should normally be cited. The standard style would be along the lines of

Terms in this field must be taken from the IVOA vocabulary  
`\url{http://www.ivoa.net/rdf/voresource/content_level}`.

or, in formats where footnotes are appropriate and inline URIs should be avoided for typographical reasons



Terms in this field must be taken from the IVOA vocabulary  
`\emph{Content levels for VO resources}\footnote{`  
`\url{http://www.ivoa.net/rdf/voresource/content_level}}`.

– the footnote anchor should be the vocabulary name as given in the IVOA vocabulary repository<sup>7</sup>.

Except in the rare cases in which version-sharp references are actually necessary (for instance, descriptions of errors), it is inappropriate to reference URLs with dates (e.g., [http://ivoa.net/rdf/voresource/content\\_level/2016-08-17/](http://ivoa.net/rdf/voresource/content_level/2016-08-17/)). URIs to actual resources (e.g., the XML or Turtle renditions) must never be used to reference vocabularies.

We do not see a relevant use case for having IVOA vocabularies formally cited in reference sections of scholarly works: such references will not aid in finding them, and there is no credible benefit in tracking their usage from citation in literature.

## A The 2019 IVOA Vocabulary Toolset (non-normative)

This appendix describes the recommended toolset for authoring IVOA vocabularies as of 2019. Vocabulary authors may decide to use other tools but should consider that that may incur additional work for the chair of the Semantics WG in later maintenance.

This appendix is non-normative. It will serve as documentation of the toolset and will occasionally be updated as the tooling evolves; vocabulary authors are still advised to inspect documentation within the tools. Even major changes here will not lead to a new major version of the standard.

### A.1 Input Format

In the current tooling, RDF class and property vocabularies are authored in simple CSV files with five columns. These columns are:

*term* This is the actual, machine-readable vocabulary term. Only use letters, digits, underscores, and dashes here. As specified in sect. 4, these identifiers should be human-readable, even though they are not directly intended for human consumption (clients will use the label). In the interest of reasonably compact URIs we advise to keep the length of the terms below, say, 30 characters.

*level* This is used for simple input of wider/narrower relationships. It is 1 for “root” terms. Terms with a level of 2 that follow a root term become its children. i.e., the tooling will add the appropriate wider relationship

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<sup>7</sup><http://www.ivoa.net/rdf>

between the level 2 and the level 1 term. You can nest, i.e., have terms of level 3 below terms of level 2. Note that this means the order of rows must be preserved in the CSV files: Do *not* sort vocabulary CSVs.

*label* This is a short, human-readable label for the term. In the VO, this is generally derived fairly directly from the content of the first column, usually by inserting blanks at the right places and fixing capitalisation.

*description* This is a longer explanation of what the term means. We do not support any markup here, not even paragraphs, so there is probably a limit to how much can be communicated.

*more\_relations* This column can be used to declare non-hierarchical relationships and contains whitespace-separated declarations. Each declaration has the form `property[(term)]`. Omitting the term is allowed for certain properties; in RDF, this corresponds to a blank node. See below for the common properties supported here. Plain terms are resolved within the vocabulary, but CURIEs with known prefixes or full URIs are admitted, too.

Non-ASCII characters are allowed in label and description; files must be encoded in UTF-8, the column separator currently is required to be a semi-colon in order to save on escaping with descriptions (which very commonly contains commas). Fields that contain semicolons are escaped with double quotes, embedded double quotes are doubled.

The following properties are supported in the `more_relations` column:

- *ivoasem:deprecated* – see sect. 4.4.
- *ivoasem:useInstead* – see sect. 4.4.
- *ivoasem:preliminary* – see sect. 4.4.

## A.2 Vocabulary Metadata

Global vocabulary metadata is kept in INI-style format. The following keys are understood:

*timestamp* A manually maintained date of the last modification. This is essentially a version marker and should be changed only in preparation for a release. It is recommended to set it to the intended release date during development and not change it for every edit.

*title* A human-readable short phrase saying what the vocabulary describes.

*flavour* One of *RDF Class*, *RDF Property*, or *SKOS* (where SKOS currently expects RDF/XML serialised SKOS rather than CSV).

*description* A longer text (about a paragraph) stating what the vocabulary should be used for. No markup is supported here.

*authors* Persons involved with the creation of the vocabulary. These are *not* the persons to ask for maintenance; all requests for changes should be directed to the Semantics working group first.

*filename* The tooling expects the input at <vocabulary name>/terms.csv. If it is kept elsewhere, give the source file name here. This is to support legacy vocabularies with nonstandard names and native SKOS input.

*draft* While a vocabulary is still being reviewed in its entirety, add a key draft set to **True**. This will add language to the effect that terms may still vanish from the vocabulary and mark all terms as preliminary. Once the vocabulary is approved, this key is deleted.

*licenseuri* IVOA-managed vocabularies are always made available under CC-0 and hence do not use this key. External vocabularies as per sect. 5.3 may be subject to actual licences, in which case this field holds a URI containing the licence's conditions.

*licensehtml* This is arbitrary HTML expressing whatever licence terms may be attached to an external vocabulary. Again, do not use for IVOA vocabularies.

Currently, the global metadata is maintained in a file `vocabs.conf` in the root of the vocabulary source repository, with one section per vocabulary. The section name is the vocabulary name.

### A.3 Vocabulary Source Repository

Vocabulary authors are encouraged to maintain their vocabularies in the shared version control system of the IVOA. At the time of writing, this is a subversion repository at <https://volute.g-vocab.org/svn/trunk/projects/semantics/voc-source>.

Authors of new vocabularies should create a child directory and place their terms.csv file in there. They should then edit `vocabs.conf` and add a section named after their directory with the content discussed in sect. A.2.

## B Current Network Resources (non-normative)

This appendix details network resources used in vocabulary management. It is non-normative and will occasionally be updated as the IVOA's infrastructure evolves. Even major changes here will not lead to a new major version of the standard.

The list of vocabulary enhancement proposals is maintained in the IVOA's wiki at <https://wiki.ivoa.net/twiki/bin/view/IVOA/VEPs>. Approved VEPs will be moved to an archive page linked there. VEPs may be added as attachments to this page, but authors are encouraged to maintain them in version controlled repositories instead.

The discussion of VEPs (see sect. 5.2.3) is to take place on the appropriate mailing list(s). See <http://ivoa.net/members/index.html> for a directory of IVOA mailing lists and their addresses.

The sources of the vocabularies are kept in the ivoa-std/Vocabularies repository<sup>8</sup>. In general, only the chair of the Semantics working group or appointed maintainers of specific vocabularies have reason to work with this repository.

## C VEP operations(non-normative)

### C.1 Submitting a VEP

Authors of VEPs sufficiently unconcerned with the privacy implications are encouraged to submit their vocabulary enhancement proposals through github in the following way:

1. Using github's web page, fork the VEP repository<sup>9</sup>.
2. In a local shell clone the repository:  
`git clone https://github.com/ivoa-std/VEPs`
3. Add the private fork created as an upstream:  
`git remote add mine git@github.com:<your user id>/VEPs.git`
4. Create a branch for your VEP; use some suitable variant of <vocname>-<term> for the branch name. A term *validated* in the date-role vocabulary might use:  
`git checkout -b date-role-validated`
5. `cp template.txt VEP-new.txt` – the name VEP-new.txt is constant for all such pull requests. The chair of the semantics working group will assign the running number when merging the pull request.
6. Edit the VEP-new.txt, filling out the required fields.
7. Commit your changes locally:  
`git add VEP-new.txt && git commit -am "Adding VEP for ..."`

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<sup>8</sup><https://github.com/ivoa-std/Vocabularies>

<sup>9</sup><https://github.com/ivoa-std/VEPs>

8. Push the changes to your fork; replace `date-role-validated` by the branch name you chose in step 4:  
`git push --set-upstream mine date-role-validated`
9. Create a pull request for your VEP using github's web page. Please make sure edits by the maintainer are allowed.

## C.2 Merging a VEP

This subsection is a checklist for vocabulary maintainers. It can be ignored by general readers.

When a VEP is submitted, the vocabulary maintainer will perform the following steps in a checkout of the VEP repository:

1. Add the fork of the submitter to the local checkout (replacing `other-user` as appropriate; use the ssh checkout URI, since you will be committing to the branch):  
`git remote add other-user git@github.com:other-user/VEPs.git`
2. Check out the branch corresponding to the VEP:  
`git fetch other-user && git checkout branch-name-of-pr`
3. Inspect `VEP-new.txt` in the checkout. Make sure the VEP is technically complete. In particular, ensure there is a sufficiently precise description, the used-in specification can be reached and reflects the proposed term, and that there is a rationale that explains what the proposed change is supposed to enable or repair.
4. Obtain the next running VEP number from the IVOA VEP index<sup>10</sup>. Replace NNN in the following command that number:  
`git mv VEP-new.txt VEP-NNN.txt`
5. Update the PR so it is mergable into the main branch of the VEP repository (which must never contain a `VEP-new.txt`):  
`git commit -am "New VEP is NNN" && git push`
6. Merge the PR. The vocabulary maintainer will, in general, force-merge. Unless significant evolution of the VEP has happened at the PR stage that should be preserved in the history, merge using squash-and-merge. Use "VEP-NNN: vocabulary#term" as the commit message; the longer comment will usually be empty.
7. Delete the branch, and locally check out the main branch again:  
`git checkout main && git pull`

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<sup>10</sup><https://wiki.ivoa.net/twiki/bin/view/IVOA/VEPs>

8. Update the IVOA VEP index, adding one to the number of the next VEP and adding a link to the raw text of the VEP in the section “Current VEPs”.
9. For VEPs with Addition actions, update the Vocabularies repository, ensuring any addition has a *ivoasem:preliminary* relationship.

## D An Example for a Vocabulary in Desise (non-normative)

The following example shows what a vocabulary in desise looks like. The content is, superficial similarities to real vocabularies notwithstanding, contrived.

```
{
  "uri": "http://www.ivoa.net/rdf/example",
  "flavour": "RDF Class",
  "terms": {
    "EQUATORIAL": {
      "label": "Equatorial",
      "description": "Umbrella term for all sorts of equatorial frames.",
      "narrower": ["ICRS", "ICRS2", "BD", "BD1875.0"], "wider": []
    },
    "ICRS": {
      "label": "ICRS",
      "description": "As defined by 1998AJ....116..516M.",
      "wider": ["EQUATORIAL"], "narrower": []
    },
    "B1875": {
      "label": "Bonner Durchmusterung System",
      "description": "Deprecated term for the reference system implied by BD/CD",
      "deprecated": "",
      "wider": ["EQUATORIAL"], "narrower": []
    },
    "BD": {
      "label": "Bonner Durchmusterung System",
      "description": "The reference system implied by BD/CD",
      "wider": ["EQUATORIAL"], "narrower": []
    },
    "ICRS2": {
      "label": "ICRS 2",
      "description": "The reference system defined by 2027A&A..1234...12B",
      "preliminary": "",
      "wider": ["EQUATORIAL"], "narrower": []
    }
  }
}
```

## E Changes from Previous Versions

### E.1 Changes from PR-2021-01-14

- Now allowing a “designated person” to run the vocabulary repository, too.
- Many editorial changes after RFC.

### E.2 Changes from WD-2020-06-12

- No changes to normative material.
- Adding a use case on vocabulary evolution and on VO-DML.
- Various editorial changes.

### E.3 Changes from WD-2020-03-26

- Desise term values are now dicts with label and description to make it a bit more self-explanatory; this let us pull in preliminary, deprecated, and wider as well.
- Desise now contains an inversion of wider, narrower, with meanings quite different between SKOS and the other flavours.
- The main media type for Desise is now application/x-desise+json rather than text/json because there is no text/json, and you can't have content media type parameters on either.
- Mentioning licenseuri and licensehtml in the non-normative part on managing vocabulary metadata. Also stating there that IVOA-managed vocabularies are CC-0.

### E.4 Changes from WD-2019-09-05

- We no longer recommend that non-RDF clients use RDF/XML. We have therefore removed the “usage with plain XML tooling” sections. We have also removed the description of the revovo python module from the toolset appendix.
- Instead, we now have the custom “desise” format described in a new section that doubles as a very quick introduction for adopters not interested in RDF.
- Adding a use case and requirement for the UAT (and, perhaps, similar externally curated vocabularies). Adding a section on how such vocabularies may be integrated into the IVOA RDF repository.

- Now requiring a *Used-in* item in addition VEPs, implying that only terms that are already applied may be proposed.
- Adding *Supercedes* and *Superceded-by* items, formalising the previous language on “splitting” VEPs a bit.
- Adding advice on referencing vocabularies.
- We now demand a formal validation of VEPs by the semantics chair. The responsibility for “uploading” the VEP, i.e., adding it to the VEP index, is now assigned to them.
- Adding a soapbox section with advice on what to do when proposing new terms and introducing a naive semantics model.

## E.5 Changes from REC-1.19

The present document is a full re-write of Version 1 of Vocabularies in the VO. See sect. 1.2 for details.

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